# Fi-Tek Wealth Technology Conference 2025

September 15–17, 2025. New York City

## Technology Innovations to Address Wealth Convergence

*TRUST – WEALTH – BROKERAGE*

# Morning Sessions

15 **September**

Day 1 Agenda

Joint Sessions

| Time | Description |
|---|---|
| 8:00 AM<br>9:00 AM | **Breakfast** |
| 9:00 AM<br>9:30 AM | ***Welcome Remarks***<br>***Subir Chatterjee, CEO***<br>***Fi-Tek*** |
| 9:30 AM<br>10:00 AM | ***Convergence of Wealth:***<br>*A Unified View of Trust Advisory and Brokerage*<br>Discover how Fi-Tek empowers institutions to provide a holistic client experience by integrating trust and brokerage data into one comprehensive view. This session explores the Combined Wealth solution, which enhances asset visibility, simplifies reporting, and supports better decision-making. |
| 10:00 AM<br>10:30 AM | ***A New Era of Experience:***<br>*Introducing New UI/UX!*<br>Explore the redesigned GWES platform featuring a sleek, user-friendly interface. See how its seamless integration with GW Direct streamlines navigation and enhances efficiency. These updates are built to keep users connected and productive. |
| 10:30 AM<br>11:00 AM | **Break** |
| 11:00 AM<br>11:20 AM | ***Report Studio:***<br>*New Reports App to Build and Customize Reports in Real Time*<br>Experience the enhanced Report Studio—Fi-Tek's powerful client reporting app redesigned for advisors and front-office teams. With a sleek new interface and real-time customization, users can now tailor fonts, colors, content, and layouts on the fly to deliver polished, personalized reports that elevate the client experience. |
| 11:20 AM<br>11:40 AM | ***Advisor Dashboard Evolution:***<br>*Delivering a 360° Client View*<br>Dive into the latest enhancements to Fi-Tek's Advisor Dashboard—designed to give advisors and front-office execs a comprehensive, real-time view of their clients' financial landscape. |
| 11:40 AM<br>12:00 PM | ***Global Wealth Access & Mobile:***<br>*Anytime, Anywhere Client Connectivity*<br>Discover how GWA empower clients to stay connected to their portfolios—securely and seamlessly. Find out what is new and what is to come |
| 12:00 PM<br>12:10 PM | Vendor Spotlight R&T DEPOSIT SOLUTIONS |
| 12:10 PM<br>1:00 PM | **Lunch – sponsored by R&T** |

## Afternoon Sessions

**15** September

Day 1 Agenda

Joint Sessions

| Time | Description |
|---|---|
| 1:00 PM<br>1:30 PM | ***Guest Speaker - David McSweeney,***<br>***Vice President in Fidelity's Enterprise Cybersecurity Group*** |
| 1:30 PM<br>2:00 PM | ***Fully Integrated GIPS Compliant Global Wealth Performance+ GWP+***<br>now fully integrated provides users with the easy access to o |
| 2:00 PM<br>2:30 PM | ***AI at Fi-Tek:***<br>*Transforming Workflows with Intelligent Automation*<br>Step into the future with Fi-Tek's latest advancements in artificial intelligence. This session will showcase how AI is being integrated into the platform to streamline operations, enhance decision-making, and simplify daily tasks for advisors and administrators.<br>Learn how intelligent automation is reshaping the way you work! |
| 2:30 PM<br>3:00 PM | **Break** |
| 3:00 PM<br>3:10 PM | Vendor Spotlight Broadridge |
| 3:10 PM<br>3:40 PM | ***Fi-Tek Client Benchmarking 2025:***<br>*Strategic Insights Through Benchmarking: Empowering Clients with Data-Driven Perspective*<br>Explore our latest initiative to help clients understand how their business compares to industry peers through a comprehensive benchmarking study. Attendees will learn how we collected client input and GWES data to create tailored reports that highlight strategic positioning, product satisfaction, and investment intentions. |
| 3:40 PM<br>4:10 PM | ***Modernizing Onboarding: Fewer Steps, Better Experience***<br>*Digital Account Onboarding (DAO) and Account Enrollment*<br>Onboard new clients more efficiently with fewer touchpoints, thanks to seamless DocuSign integration for a flawless user experience and directly into Account Enrollment. |
| 4:10 PM<br>4:20 PM | Vendor Spotlight NORTHERN TRUST |
| 4:20 PM<br>4:50 PM | ***NEW Development:***<br>*Householding Structure in GWES*<br>Learn about the core concepts and the behind-the-scenes processes that are shaping this feature and how Householding will streamline client management, enhance reporting, and integrate with your existing systems to deliver more efficient and personalized service. Get insights into the technology and strategies driving its development and see how it can transform your operations. |
| 5:00 pm –<br>6:30 pm | **Wrap-Up: Cocktails & Connections**<br>Join us outside the main conference hall - Marriott Marquis |



Sponsored by NORTHERN TRUST Fi-tek LLC

# Morning Sessions

Joint Sessions

| Time | Topic |
|---|---|
| 8:00 AM<br>8:30 AM | **Breakfast – sponsored by Federated** |
| 8:30 AM<br>9:00 AM | ***Round Table on Technology In Wealth Management***<br>*An Industry Viewpoint on Emerging Trends in Cloud Solutions and AI.*<br><br>*Roundtable to include industry speakers, including AWS, IBM, Infosys and Fi-Tek.* |
| 9:00 AM<br>9:30 AM | ***Guest Speaker***<br>**Ryan James Boyle**<br>*Senior Vice President and Chief U.S. Economist within the Global Risk Management division of Northern Trust* |
| 10:00 AM<br>10:10 AM | Vendor Spotlight — Federated Hermes |
| 10:10 AM<br>10:30 AM | ***Best Practices – Operations Efficiency***<br>***First State Trust Company***<br>Join the First State Trust team for an in-depth session on operational controls and administrative best practices using key tools within GWES. This session will also cover the latest fraud management processes, emphasizing the critical role of client verification in preventing wire and check fraud. |
| 10:30 AM<br>10:50 AM | ***Guest Speaker***<br>**Paul Dorsey**<br>*Chairman of the American Gift Fund* |
| 10:50 AM<br>11:00 AM | Vendor Spotlight — QUODD |
| 11:00 AM<br>11:10 AM | ***Break*** |

**Concurrent Breakout Sessions:**

At this time, attendees may choose to join one of the following three tracks:

- **Operations Track**
- **Investment Management (IM) Track**
- **Client Administration / Advisor Track**

# Operations Sessions

16 September

Day 2 Agenda

Operations Track

| Time | Description |
|---|---|
| 11:00 AM<br>11:10 AM | ***GWES Strategic Development***<br>GWES new enhancements and roadmap for next 12-18 Months |
| 11:10 AM<br>11:55 AM | ***Security Master -***<br>*Best practices leveraging the new features*<br>Discover what's new in Security Master with insights from Fi-Tek's subject matter experts. |
| 12:00 PM<br>1:00 PM | **Lunch sponsored by QUODD** |
| 1:00 PM<br>1:45 PM | ***Day Time Scheduler***<br>How to Automate Routine Daytime Jobs and Improve straight thru processing (STP) with this tool - with specific use cases on how to improve operational productivity |
| 1:45 PM<br>2:30 PM | ***Best Practices: Cashiering***<br>How to leverage some of the latest features in GWES to improve the cashiering |
| 2:30 PM<br>3:00 PM | ***The Utility of Utilities***<br>Leverage GWES utilities to gain efficiencies |
| 3:00 PM<br>3:30 PM | **Break** |
| 3:30 PM<br>3:40 PM | Vendor Spotlight ICE |
| 3:40 PM<br>4:30 PM | ***Operations Strategy Session!***<br>Join us for an active roundtable session! |
| 4:30 PM<br>5:00 PM | ***Guest Speaker*** |
| 5:30 PM<br>8:30 PM | ***Please join us for Dinner at the Marriott Broadway Lounge!*** |

Sponsored by QUODD Broadridge Fitek LLC

# IM Sessions

**16** September

Day 2 Agenda

**IM Track**

| Time | Description |
|---|---|
| 11:00 AM<br>11:30 AM | ***Investment Management Strategic Overview:***<br>Quick overview of the latest features and functions of New IM and topics that will be discussed at the conference *including preview of topics such as:*<br>***NEW: Tax Loss Harvesting:***<br>Analyze client accounts to optimize tax gain/loss with automated analytics and reports |
| 11:30 AM<br>12:00 PM | ***Trade Brief***<br>Following the Trust Brief introduced at the last conference, we're launching a Trade Brief—a centralized summary of key trading information for each account. It will include trade restrictions, tax budgets, PM notes, liquidity needs, IPS details, and more. |
| 12:00 PM<br>1:00 PM | **Lunch sponsored by QUODD** |
| 1:00 PM<br>2:00 PM | ***NEW: UMA***<br>How to Leverage this new functionality to Enhance Portfolio Management and Performance Reporting |
| 2:00 PM<br>2:30 PM | ***NEW: Report Studio:***<br>How to leverage this new tool for Investment Management Reporting |
| 2:30 PM<br>2:50 PM | ***Guest Speaker –*** *Sunitha Thomas, CFA, CPWA*<br>*Co-Head of Wealth Client Group – Northern Trust* |
| 2:50 PM<br>3:00 PM | Vendor Spotlight |
| 3:00 PM<br>3:30 PM | **Break** |
| 3:30 PM<br>4:00 PM | ***Guest Speaker -*** *Digital Wealth App – Reimagined*<br>*Peter Horowitz - Founder- NextMove Capital* |
| 4:00 PM<br>4:30 PM | ***Direct Indexing*** |
| 4:30 PM<br>5:00 PM | ***Smart Divest***<br>Smart Divest allows users to complete raise cash requests while also bringing the account more in line with its assigned model. The system will trim holdings that are furthest away from their target until the desired cash has been raised. |
| 5:00 PM<br>5:30 PM | *Join us for an active roundtable session!* |
| 5:30 PM<br>8:30 PM | ***Please join us for Dinner and the Marriott Broadway Lounge!*** |



## Client Admin / Advisor Track

# 16

September

Day 2 Agenda

Client Admin / Advisor Track

| Time | Description |
|---|---|
| 11:00 AM<br>11:30 PM | ***Digital Account Onboarding***<br>Join us for a deeper dive for how DAO can be leveraged for client administrators! |
| 11:30 AM<br>12:00 PM | ***Account Enrollment***<br>Are you using Account Enrollment to the fullest? Join Fi-Tek SMEs to learn some tip sand tricks! |
| 12:00 PM<br>1:00 PM | **Lunch sponsored by QUODD** |
| 1:00 PM<br>1:30 PM | ***Admin Dashboard***<br>What is the difference between Admin Dashboard and Advisor Dashboard? When should each application be used. Join us to learn some tips and tricks from Fi-Tek SMEs! |
| 1:30 PM<br>2:00 PM | ***Advisor Dashboard*** |
| 2:00 PM<br>2:30 PM | ***Notes*** |
| 3:00 PM<br>3:30 PM | **Break** |

At this time, we invite you to join us in the IM or Operations Sessions.

## Morning Sessions

17 September
Day 3 Agenda

Joint Sessions

| TIME | TOPIC | SPEAKER |
|---|---|---|
| 8:00 AM<br>9:00 AM | **Breakfast** | |
| 9:00 AM<br>9:30 AM | ***Wealth Workflow: Now and the Future***<br>The GWES workflow will enable our clients to automate communications across their organization and enhance process flow, supporting the digitization of business processes. | |
| 9:30 AM<br>10:00 AM | ***New Smart Divest*** | |
| 10:00 AM<br>10:30 AM | **Break** | |
| 10:30 AM<br>11:00 AM | ***Account Review*** | |
| 11:00 AM<br>11:30 AM | ***Cybersecurity*** | |
| 11:30 AM<br>12:00 PM | ***SaaS Infrastructure (on-premises vs. the cloud)***<br>Join us for Review of on-premises solutions and our overall cloud strategy. Learn about measures Fi-Tek h | |
| 12:00 PM<br>1:00 PM | **Boxed Lunch** | |

Thanks for joining us! Safe travels!

## Sponsors

AMERICANTCS
NewEdge SECURITIES

BondNav powered by InspereX

Broadridge

CAPIS Integrity | Execution | Transparency

Chicago Clearing CORPORATION

Dreyfus

Federated Hermes

Fidelity INVESTMENTS

Fifth Third Institutional Services

GalaxyPlus MANAGED ACCOUNT PLATFORM

ICE

QUODD

NORTHERN TRUST

proxytrust

R&T DEPOSIT SOLUTIONS